

IPW

Docket No: ENP-029 CON  
(4014.1029 US1)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Ly Tam Phan, Nha Vo, Yat Sun Or, Yao-Ling Qiu and Ying Hou  
Application No.: 10/796,412 Group Art Unit: 1614  
Filed: March 9, 2004 Examiner: Not Yet Assigned  
Confirmation No.: 9034  
Title: 5-O-MYCAMINOSYLTYLONIDE DERIVATIVES

CERTIFICATE OF MAILING OR TRANSMISSION	
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as First Class Mail in an envelope addressed to Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, or is being facsimile transmitted to the United States Patent and Trademark Office on:	
8/3/04	Rachel Meehan
Date	Signature
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Typed or printed name of person signing certificate	

INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This Information Disclosure Statement is submitted:

under 37 CFR 1.129(a), or  
(First/Second submission after Final Rejection)

under 37 CFR 1.97(b), or  
(Within any one of the following time periods: three months of filing national application (other than a CPA) or date of entry of the national stage in an international application; or before the mailing date of a first office action on the merits in a non-provisional application, including a CPA, or a Request for Continued Examination).

under 37 CFR 1.97(c) together with either:  
 a Statement under 37 CFR 1.97(e), as checked below, or  
 a \$180.00 fee under 37 CFR 1.17(p), or  
(After the 37 CFR 1.97(b) time period, but before final action or notice of allowance, whichever occurs first)

under 37 CFR 1.97(d) together with:  
 a Statement under 37 CFR 1.97(e), as checked below, and  
 a \$180.00 fee under 37 CFR 1.17(p), or  
(Filed after final action or notice of allowance, whichever occurs first, but on or before payment of the issue fee)

under 37 CFR 1.97(i):  
Applicant requests that the IDS and cited reference(s) be placed in the application filewrapper. (Filed after payment of issue fee)

Statement Under 37 CFR 1.97(c)

- Each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement; or
- No item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the undersigned, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in 37 CFR 1.56(c) more than three months prior to the filing of this Information Disclosure Statement.

Statement Under 37 CFR 1.704(d) (Patent Term Adjustment)

(Applies to original applications (other than design) filed on or after May 29, 2000)

- Each item of information contained in the Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in -§ 1.56(c) more than thirty days prior to the filing of the Information Disclosure Statement.
- Enclosed herewith is form PTO-1449:
  - Copies of the cited references are enclosed.
- Copies of cited references are not enclosed and were entered in prior application, U.S. Application No. 10/125,840, to which priority under 35 U.S.C. 120 is claimed. The earlier application contains copies of the cited references.
- The listed references were cited in the enclosed International Search Report in a counterpart foreign application.
- The “concise explanation” requirement (non-English references) for reference(s) [ ] under 37 CFR 1.98(a)(3) is satisfied by:
  - the explanation provided on the attached sheet.
  - the explanation provided in the Specification.
  - submission of the enclosed International Search Report.
  - submission of the enclosed English-language version of a foreign Search Report and/or foreign Office Action.
  - the enclosed English language abstract.

The Examiner is requested to return a copy of the list of pending applications indicating which references were considered with the next office communication.

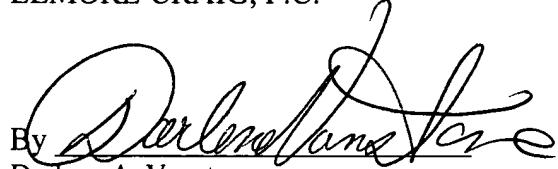
It is requested that the information disclosed herein be made of record in this application.

Method of payment:

- A check for the fee noted above is enclosed, or the fee has been included in the check with the accompanying Reply. A copy of this Statement is enclosed.
- Please charge Deposit Account [ ] in the amount of [\$ ]. A copy of this Statement is enclosed.
- Please charge any deficiency in fees and credit any overpayment to Deposit Account 502807.

Respectfully submitted,

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Dated: *August 5, 2004*

PTO-1449 REPRODUCED			ATTORNEY DOCKET NO. ENP-029 CON (4014.1029 US1)	APPLICATION NO. 10/796,412
INFORMATION DISCLOSURE CITATION IN AN APPLICATION			FIRST NAMED APPLICANT Ly Tam Phan	
July 21, 2004 (Use several sheets if necessary)			FILING DATE March 9, 2004	CONFIRMATION NO. 9034
			GROUP 1614	

*O I P E*  
AUG 05 2004  
U.S. PATENT AND TRADEMARK OFFICE

U.S. PATENT DOCUMENTS				
EXAM- INER INI- TIAL	REF. NO.	DOCUMENT NUMBER	ISSUE DATE / PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT
	AA	4,436,729	03-13-1984	Ganguly <i>et al.</i>
	AB	4,468,511	08-28-1984	Kirst <i>et al.</i>
	AC	4,629,786	12-16-1986	Debono <i>et al.</i>
	AA	4,579,940	04-01-1986	Watanabe <i>et al.</i>

U.S. COPENDING APPLICATIONS				
EXAM- INER INI- TIAL	REF. NO.	APPLICATION SERIAL NUMBER	FILINGDATE	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT

FOREIGN PATENT DOCUMENTS					
		DOCUMENT NUMBER	MM-DD-YYYY PUBLICATION DATE	NAME OF PATENTEE OR APPLICANT OF CITED DOCUMENT	TRANSLATION YES      NO
	BA	WO 03/011882	02-13-2003	Jian Tianying, <i>et al.</i>	
	BA	WO 95/02594	01-26-1995	Pfizer, Inc.	

EXAMINER	DATE CONSIDERED
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		GROUP 1614

**OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)**

EXAMINER	DATE CONSIDERED
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